6	۵۱ ۵							
/ 0	The sci		se made in	2872				
JUN	PTO/SBI/21 (12/97) Approved for use through 9/30/2000. OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.							
TRA	TRANSMITTAL FO	ORM	Application No.	09/811,081				
			Filing Date	March 16, 2001				
	(to be used for all correspondence afte	er initial filing)	First Named Inventor	Thomas W. Mossberg				
			Group Art Unit					
			Examiner Name					
	Total Number of Pages in This Submission 14		Attorney Docket Number	5455P001				
İ	ENCLOSURES (check all that apply)							
	Fee Transmittal Form	Assignme	ent Papers oplication)	After Allowance Communication to Group				
	Fee Attached	Drawing(3)	Appeal Communication to Board of Appeals and Interferences				
	Amendment / Response	Licensing	-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
	After Final Affidavits/declaration(s)	Petition R and Acco	louting Slip (PTO/SB/69) mpanying Petition	Proprietary Information				
	Extension of Time Request	To Conve	ert a al Application	Status Letter				
	Express Abandonment Request Change		Attorney, Revocation f Correspondence Address	Additional Enclosure(s) (please identify below):				
l			Disclaimer	Copies of 68 cited references				

Information Disclosure Statement

Certified Copy of Priority Document(s)

Response to Missing Parts/ Incomplete Application

> Response to Missing Parts under 37 CFR 1.52 or 1.53

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Gregory D. Caldwell, Reg. No. 39,926

or Individual name

BLAKELY, SOKOLOFF TAYLOR & ZAFMAN LLP

Signature

Date

June 6, 2001

Small Entity Statement

Request for Refund

Remarks

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

				June 6, 2001
Typed or printed name	Angie C. Farr			
Signature	Camaia (- Jan	Date	June 6, 2001



Attorney Docket No.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

THOMAS W. MOSSBERG

Application No.: 09/811,081

Filed: March 16, 2001

For: HOLOGRAPHIC SPECTRAL FILTER

TC 2000 MAIL ROOM

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56 and in accordance with 37 C.F.R. § 1.97, enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of the Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

BEST AVAILABLE COPY

Please charge any additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Gregory D. Caldwell, Reg. No. 39,926

Date:

June 06, 2001

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 Telephone: (503) 684-6200 .

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 to the Patent and Trademark Office on: June 6, 2001.

Angie C. Far

06/06/01

Date